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PATENT  
Attorney Docket No.: SLM-06400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David T. Amm, *et al.*

Serial No.: 09/930,838

Filed: August 15, 2001

For: **BLAZED GRATING LIGHT  
VALVE**

Group Art Unit: 2872

Examiner:

**INFORMATION DISCLOSURE  
STATEMENT**

260 Sheridan Avenue, Suite 420  
Palo Alto, California 94306  
(650)833-0160

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 3,886,310;
- U.S. Patent No. 4,566,935;
- U.S. Patent No. 4,571,603;
- U.S. Patent No. 4,710,732;
- U.S. Patent No. 5,182,665;
- U.S. Patent No. 5,296,891;
- U.S. Patent No. 5,311,360;
- U.S. Patent No. 5,459,610;
- U.S. Patent No. 5,485,304;
- U.S. Patent No. 5,629,801;
- U.S. Patent No. 5,661,592;

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CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

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HAVERSTOCK & OWENS LLP.

Date: 12-3-01 By: *John D. Huxford*

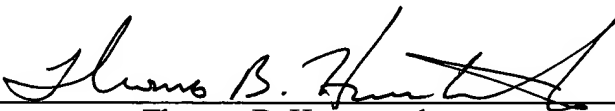
- U.S. Patent No. 5,757,536;
- U.S. Patent No. 5,808,797;
- U.S. Patent No. 5,841,579;
- U.S. Patent No. 5,926,309;
- U.S. Patent No. 5,949,570;
- U.S. Patent No. 5,982,553;
- U.S. Patent No. 6,061,166;
- U.S. Patent No. 6,169,624 B1;
- U.S. Patent No. 6,172,796 B1;
- U.S. Patent No. 6,181,458 B1;
- U.S. Patent No. 6,188,519 B1;
- U.S. Patent No. 6,215,579 B1;
- U.S. Patent No. 6,219,015 B1;
- U.S. Patent No. 6,229,650 B1;
- PCT Publication No. WO 96/08031;
- Solgaard, O., *Integrated semiconductor light modulators for fiber-optic and display applications*, Ph.D. Dissertation, Stanford University, February 1992;
- Apte, R.B., *Grating light valves for high resolution displays*, Ph.D. Dissertation, Stanford University, June 1994; and
- Burns, D.M. et al., *Development of microelectromechanical variable blaze gratings*, Sensors and Actuators A, pp. 7-15, 1998.

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This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 12 - 3 - 01

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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Group Art Unit: 2872

Examiner:

**TRANSMITTAL LETTER**

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Palo Alto, CA 94306  
(650) 833-0160

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find an Information Disclosure Statement, Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 12-3-01

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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HAVERSTOCK & OWENS LLP.

Date: 12-3-01 By: John D. Ransom